

	<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>  <b>Assistance Amendment</b>		<b>GRANT NUMBER (FAIN):</b> 99290513	<b>DATE OF AWARD</b> 04/30/2014	
			<b>MODIFICATION NUMBER:</b> 1 <b>PROGRAM CODE:</b> FS		
			<b>TYPE OF ACTION</b> No Cost Amendment		<b>MAILING DATE</b> 04/30/2014
			<b>PAYMENT METHOD:</b> Advance		<b>ACH#</b> 20039
<b>RECIPIENT TYPE:</b> State			<b>Send Payment Request to:</b> Las Vegas Finance Center		
<b>RECIPIENT:</b> New York State Department of Health Empire State Plaza, Corning Tower-Room 1110 Albany, NY 12237 <b>EIN:</b> 14-6013200			<b>PAYEE:</b> NYS Department of Health Empire State Plaza, Corning Tower-Room 1110 Albany, NY 12237		
<b>PROJECT MANAGER</b> Roger C. Sokol Empire State Plaza, Corning Tower-Room 1110 Albany, NY 12237 <b>E-Mail:</b> rcs06@health.state.ny.us <b>Phone:</b> 518-402-7650		<b>EPA PROJECT OFFICER</b> Laura Fishman 290 Broadway, WD/DWMIB New York, NY 10007-1866 <b>E-Mail:</b> Fishman.Laura@epa.gov <b>Phone:</b> 212-637-3827		<b>EPA GRANT SPECIALIST</b> Janeime Castro Grants and Audit Management Branch, OPM/GAMB <b>E-Mail:</b> Castro.Janeime@epa.gov <b>Phone:</b> 212-637-3573	
<b>PROJECT TITLE AND EXPLANATION OF CHANGES</b> NYSDOH FFY13 DWSRF This amendment approves the changes requested to the project/budget period start dates and the project/budget end period end dates: October 1, 2012 through September 30, 2019. This action is taken in accordance with Michael Cambridge's letter dated March 20, 2014 which describes the specific reasons for requesting this amendment. All Administrative and Programmatic Conditions to the award remain in effect. There is no change to the amount of funds awarded or the scope of work under this agreement.					
<b>BUDGET PERIOD</b> 10/01/2012 - 09/30/2019	<b>PROJECT PERIOD</b> 10/01/2012 - 09/30/2019	<b>TOTAL BUDGET PERIOD COST</b> \$72,130,500.00	<b>TOTAL PROJECT PERIOD COST</b> \$72,130,500.00		
<b>NOTICE OF AWARD</b>					
Based on your Application dated 03/20/2014 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards \$0. EPA agrees to cost-share <u>76.92</u> % of all approved budget period costs incurred, up to and not exceeding total federal funding of \$55,485,000. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA regulatory and statutory provisions, all terms and conditions of this agreement and any attachments.					
<b>ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)</b>		<b>AWARD APPROVAL OFFICE</b>			
<b>ORGANIZATION / ADDRESS</b> Grants and Audit Management Branch 290 Broadway, 27th Floor New York, NY 10007-1866		<b>ORGANIZATION / ADDRESS</b> U.S. EPA, Region 2 Clean Water Division 290 Broadway New York, NY 10007-1866			
<b>THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY</b>					
<b>Digital signature applied by EPA Award Official</b> Yvette K. Marrero-Cardona - Grants Management Specialist				<b>DATE</b> 04/30/2014	

## EPA Funding Information

FS - 99290513 - 1 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 55,485,000	\$ 0	\$ 55,485,000
EPA In-Kind Amount	\$ 0	\$	\$ 0
Unexpended Prior Year Balance	\$ 0	\$	\$ 0
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$ 16,645,500	\$ 0	\$ 16,645,500
State Contribution	\$ 0	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 72,130,500	\$ 0	\$ 72,130,500

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.468 - Safe Drinking Water State Revolving Fund	Safe Drinking Water Act: Sec. 130 Amended 1996 (PL 104-182)	40 CFR PTS 31 & 35 SUBPT L

[illegible]



## Budget Summary Page

<b>Table A - Object Class Category (Non-construction)</b>	<b>Total Approved Allowable Budget Period Cost</b>
<b>1. Personnel</b>	\$3,924,495
<b>2. Fringe Benefits</b>	\$1,968,527
<b>3. Travel</b>	\$127,140
<b>4. Equipment</b>	\$0
<b>5. Supplies</b>	\$102,000
<b>6. Contractual</b>	\$505,000
<b>7. Construction</b>	\$0
<b>8. Other</b>	\$65,041,440
<b>9. Total Direct Charges</b>	\$71,668,602
<b>10. Indirect Costs: % Base</b>	\$461,898
<b>11. Total (Share: Recipient <u>23.08</u> % Federal <u>76.92</u> %.)</b>	\$72,130,500
<b>12. Total Approved Assistance Amount</b>	\$55,485,000
<b>13. Program Income</b>	\$0
<b>14. Total EPA Amount Awarded This Action</b>	\$0
<b>15. Total EPA Amount Awarded To Date</b>	\$55,485,000

**Administrative Conditions**

All Administrative Conditions Remain the Same

**Programmatic Conditions**

All Programmatic Conditions Remain the Same